



Sheet 1 of 1

# LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

Atty Docket No. <b>A01449</b>	Serial No. <b>10/677,436</b>
Applicant <b>Lifeng Zhang</b>	
Filing Date <b>10/02/03</b>	Group <b>1623</b>

## U.S. Patent Documents

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
RI	AA	2003/171459A1	09/11/03	Sau		10/29/01
RI	AB	2002/165313A1	11/07/02	Tanzer		12/26/01
RI	AC	5,137,571	08/11/92	Eisenhart et al		
RI	AD	5,376,709	12/27/94	Lau et al		
RI	AE	5,425,806	06/20/95	Doolan et al		
	AF					
	AG					
	AH					
	AI					
	AJ					

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
RI	AL	EP0460896	12/11/91	EP		Equiv. To US 5,137,571A
RI	AM	EP0614950	09/14/94	EP		Equiv. To US 5,376,709 A
RI	AN	WO03037989	05/08/03	PCT		Equiv. To US 2003171459 A1
RI	AO	EP1236776 A	09/04/02	EP		Equiv. To US 2002/165313 A1
RI	AP	EP0682094A	11/15/95	EP		Equiv. To US 5425806 A

## OTHER ART (Including Author, Title, Date, Pertinent Patents, etc.)

RI	AR	Zeying Ma et al; "Complexations of Beta-Cyclodextrin with Surfactants and Hydrophobically Modified Ethoxylated Urethanes"; ACS Symposium Series, vol. 765, 2000, pages 254-270, XP008026121 USA
RI	AS	Karlson L et al; "A rheological investigation of the complex formation between hydrophobically modified ethyl (hydroxy ethyl) cellulose and cyclodextrin"; Carbohydrate Polymers, Applied Science Publishers, Ltd. Barking, GB, vol. 50, no. 3; 11/15/02; pages 219-226, XP004395899; ISSN, 01448617

Examiner	/Roy Issac/ (12/17/2006)	Date Considered	12/17/2006
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\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

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PTO/SB/08A (07-05)

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	10/677,436
				Filing Date	October 2, 2003
				First Named Inventor	Lifeng Zhang
				Group Art Unit	1623
Examiner Name	Not Yet Assigned				
Sheet	1	of	1	Attorney Docket Number	A01449

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
RI		US 6,809,132 B2	10-26-2004	Sau	
RI		US 6,900,255	05-31-2005	Sau	
RI		US 2005/0187342 A1	08-25-2005	Schieferstein <i>et al.</i>	
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			

Examiner Signature	/Roy Issac/ (12/17/2006)	Date Considered	12/17/2006
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**LIST OF ART CITED BY APPLICANT**

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Atty Docket No. <b>AO1449</b>	Serial No. <b>10/677,436</b>
Applicant <b>Lifeng Zhang</b>	
Filing Date <b>10/02/03</b>	Group <b>Unknown</b>

**U.S. Patent Documents**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
RI	AA	6,107,394	8/22/00	Broadbent, et al.		
	AB	6,002,049	12/14/99	Wiggins, et al.		
	AC	5,376,709	12/27/94	Lau, et al.		
	AD	5,137,571	8/11/92	Eisenhart, et al.		
	AE	5,023,309	6/11/91	Kruse, et al.		
	AF	4,079,028	3/14/78	Emmons, et al.		
	AG					
	AH					
	AI					
	AJ					
	AK					

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	AR	
	AS	
	AT	

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